

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

ENT BY APPLICANT APPLICANT: Krieg et al.

Sheet 1 of 12 GROUP ART UNIT: 1645 EXAMINER: Oluwatosin A. Ogunbiyi

APPLICATION NO.: 10/789,051

FILING DATE: February 26, 2004

ATTY. DOCKET NO.: C1039.70083US06

CONFIRMATION NO.: 8295

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	•	FILING	DATE: February 26, 2004	CONFIRMATION NO.: 8295		
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FORM PTO	1440/A and B (m	andifica	DTO/SB/08)	APPLICATION NO.: 10/789,051	ATTY. DOCKET NO.: C1039.70083US06		
FORM PTO-1449/A and B (modified PTO/SB/08)				FILING DATE: February 26, 2004 CONFIRMATION NO.: 8295			
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				APPLICANT: Krieg et al.			
				GROUP ART UNIT: 1645	EXAMINER: Oluwatosin A. Ogunbiyi		
Sheet	8	of	12	GROUF ART UNIT: 1043	EAAWINER. Oldwalosiii A. Ogdilolyi		

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EXAMINER: DATE CONSIDERED: 03/12/2007

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FORM PTO-1449/A and B (modified PTO/SB/08)

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

Sheet 9 of 12

APPLICATION NO.: 10/789,051 ATTY. DOCKET NO.: C1039.70083US06

FILING DATE: February 26, 2004 CONFIRMATION NO.: 8295

APPLICANT: Krieg et al.

GROUP ART UNIT: 1645

EXAMINER: Oluwatosin A. Ogunbiyi

/00/		TAKATSUKI et al., Interleukin 6 perfusion stimulates reconstitution of the immune and hematopoietic systems after 5-fluorouracil treatment. Cancer Res. 1990 May 15;50(10):2885-90.	
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·	•	Patent Interference No. 105,171. Iowa Preliminary Motion 3 (for judgment based on failure to comply with 35 U.S.C. 135(b)). (Electronically filed, unsigned). June 7, 2004.	
	*	Patent Interference No. 105,171. Iowa Preliminary Motion 4 (for judgment of no interference in fact). (Electronically filed, unsigned). June 7, 2004.	
	•	Patent Interference No. 105,171. Iowa Preliminary Motion 5 (for judgment based on lack of enablement). (Electronically filed, unsigned). June 7, 2004.	
	*	Patent Interference No. 105,171. Iowa Preliminary Motion 6 (for judgment based on lack of adequate written description). (Electronically filed, unsigned). June 7, 2004.	
	*	Patent Interference No. 105,171. Iowa Preliminary Motion 7 (motion to redefine interference to designate claims as not corresponding to the Count). (Electronically filed, unsigned). June 7, 2004.	
	*	Patent Interference No. 105,171. Iowa Preliminary Motion 8 (contingent motion to redefine the Count). (Electronically filed, unsigned). June 7, 2004.	
	*	Patent Interference No. 105,171. Iowa Preliminary Motion 9 (motion for benefit of earlier application). (Electronically filed, unsigned). June 7, 2004.	
	*	Patent Interference No. 105,171. Iowa Preliminary Motion 10 (contingent motion to redefine the interference by adding a continuation application). (Electronically filed, unsigned). July 2, 2004.	
	*	Patent Interference No. 105,171. Regents of the University of California Opposition 3 (to Iowa Preliminary Motion 3 for judgment under 35 USC 135(b)). September 9, 2004.	
·	*	Patent Interference No. 105,171. Regents of the University of California Opposition 4 (to Iowa Preliminary Motion 4 for judgment of no interference in fact). September 9, 2004.	
	*	Patent Interference No. 105,171. Regents of the University of California Opposition 5 (to Iowa Preliminary Motion 5 for judgment that UC's claim is not enabled). September 9, 2004.	
	*	Patent Interference No. 105,171. Regents of the University of California Opposition 6 (to Iowa Preliminary Motion 6 for judgment based on lack of adequate written description). September 9, 2004.	
	*	Patent Interference No. 105,171. Regents of the University of California Opposition 7 (to Iowa Preliminary Motion 7 to redefine the interference). September 9, 2004.	

EXAMINER: /Oluwatosin Ogunbiyi/ DATE CONSIDERED: 03/12/2007

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	`		•	FILING DATE: February 26, 2004 CONFIRMATION NO.: 8295	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				APPLICANT: Krieg et al.	
·		GROUP ART UNIT: 1645	EXAMINER: Oluwatosin A. Ogunbiyi		
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				FILING DATE: February 26, 2004	CONFIRMATION NO.: 8295
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				APPLICANT: Krieg et al.	
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	RMATION I			FILING DATE:	Herewith	CONFIRMATION NO.:
STAT	EMENT BY	APP	LICANT	APPLICANT:	Arthur M. Krieg et a	il.
				GROUP ART UNIT:	Not yet assigned	EXAMINER: Not yet assigned
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STAT	EMENT BY	APP	PLICANT	APPLICANT:	Arthur M. Krieg et	al.
			·	GROUP ART UNIT:	Not vet assigned	EXAMINER: Not yet assigned
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STAT	EMENT BY	APF	PLICANT	APPLICANT:	Arthur M. Krieg et	al.
		_		GROUP ART UNIT:	Not yet assigned	EXAMINER: Not yet assigned
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1	RMATION D			FILING DATE:	Herewith	CONFIRMATION NO.:
STAT	EMENT BY	API	PLICANT	APPLICANT:	Arthur M. Krieg et	al.
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STAT	EMENT BY	APP	PLICANT	APPLICANT:	Arthur M. Krieg et	al.
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INFORMATION DISCLOSUR	j	Herewith	CONFIRMATION NO.:	
STATEMENT BY APPLICAN	APPLICANT:	APPLICANT: Arthur M. Krieg et al.		
	GROUP ART UNIT	: Not yet assigned	EXAMINER: Not yet assigned	
Sheet 6 of 7		,		

OTHER ART - NON PATENT LITERATURE DOCUMENTS

		OTHER ART — NON PATENT LITERATURE DOCUMENTS	T		
Examiner's Initials	No (book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume-issue number(s), publisher, city and/or country where published.		Translation (Y/N)		
1001	**	Krieg, et al., "CpG Motifs in Bacterial DNA Trigger Direct B-cell Activation", Nature, 374:546-549 (1995)			
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Examiner's Initials			Translation (Y/N)	
/00/		Tokunaga, "A synthetic Single-stranded DNA, Poly(dG,dC), Induces Interferon-alpha/beta and gamma, Augments Natural Killer Activity, and Suppresses Tumor Growth," <i>Jpn. J. Cancer Res.</i> (1988) 79(6):682-686.		
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EXAMINER	DATE CONSIDERED 00/40/0007
	03/12/2007
/Oluwatosin Ogunbiyi/	•••···

#EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

[•] copies of these patents and patent applications are not enclosed pursuant to the waiver by the USPTO of the requirement under 37 C.F.R. 1.98 (a)(2)(i) for patent applications filed after June 30, 2003.

^{**}a copy of this reference is not provided as it was previously cited by or submitted to the office in one of the following prior applications, Serial No. <u>08/386.063</u>, filed <u>02/07/1995</u>, Serial No. <u>09/415.142</u>, filed <u>10/09/99</u>, Serial No. <u>10/690.495</u>, filed <u>10/21/03</u> and relied upon for an earlier filing date under 35 U.S.C. 120 (continuation, continuation-in-part, and divisional applications).

^{***} a copy of this reference is not provided as it was cited by Examiner in Serial No. 09/415.142, filed 10/09/99